

## Insights into the Pathophysiology and Therapeutic Targets of Consequences Induced by Polycythemia in COPD

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Polycythemia, characterized by an excess of red blood cells (RBCs), is a common occurrence in individuals with chronic obstructive pulmonary disease (COPD) due to chronic hypoxia resulting from impaired lung function. While polycythemia may have some protective effects for COPD patients on long-term oxygen therapy, it can also increase the risk of life-threatening complications such as pulmonary embolism (PE). This review explores the impact of polycythemia on COPD patients, delving into its epidemiology, risk factors, and potential consequences. The prevalence of polycythemia in COPD varies from 6% to 10.2% in outpatient settings and is associated with factors like smoking, male gender, impaired lung function, and severe hypoxemia. The elevated red blood cell count can thicken the blood, increasing the risk of blood clots and cardiovascular complications. Proper management of polycythemic COPD involves addressing increased blood viscosity, managing thromboembolic risks, and optimizing cardiovascular health. Therapeutic interventions include phlebotomy, low-dose aspirin, and oxygen therapy to reduce complications and improve outcomes. Additionally, new cytoreductive therapies may offer promising treatment options for primary polycythemia. However, further research is required to enhance our understanding and refine care for individuals with polycythemic COPD, with the ultimate goal of mitigating complications, improving quality of life, and enhancing long-term prognosis. Clinicians must remain vigilant in managing polycythemia in COPD to optimize patient outcomes and reduce the burden of associated complications.

**Keywords:** COPD; Hypoxia; Hydroxyurea; Low dose aspirin; Polycythemia; Phlebotomy.

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Polycythemia is a condition where the blood has too many (RBC) red blood cells.<sup>1</sup> It can occur in people with COPD (chronic obstructive pulmonary disease) as a result of chronic low oxygen levels. Polycythemia may have some protective effects for COPD patients on long-term oxygen therapy.<sup>3,4</sup> However, it can also increase the risk of pulmonary embolism (PE), which is a life-threatening blood clot in the lungs. Polycythemia, a

condition where the body produces many red blood cells, has been identified as an independent risk factor for death in COPD patients who also have pulmonary embolism. A study found that among COPD outpatients, the occurrence of polycythemia varies from 6% to 10.2% when measured by a hemoglobin level of at least 17 g/dL for males and at least 15 g/dL for females.<sup>2</sup> Additionally, in a group of severe COPD patients undergoing

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long-term oxygen therapy, 8.4% had a hematocrit level of at least 55%.<sup>3</sup> In COPD patients, there are several factors that can increase the likelihood of developing secondary polycythemia. These include being male, smoking, having a reduced ability to transfer carbon monoxide from the lungs to the blood (impaired DLCO), experiencing low levels of oxygen in the blood (severe hypoxemia), and living at high altitudes. Secondary polycythemia can worsen COPD symptoms by hindering the lungs' ability to oxygenate the blood, leading to shortness of breath, fatigue, and other complications. Furthermore, Polycythemia can increase the likelihood of developing blood clots, which can pose an added risk for people with COPD.<sup>19</sup> Hence, this review aims to examine the impact of polycythemia on individuals with COPD, delving into the pathophysiology and potential therapeutic targets. The objectives include providing a thorough overview of the current understanding of polycythemia in COPD, encompassing its prevalence, risk factors, and potential consequences. Additionally, the review seeks to identify areas where further research is necessary to enhance our understanding and management of polycythemic COPD patients.

#### **Polycythemia: Etiology**

Secondary polycythemia, is a common occurrence in individuals with COPD due to chronic hypoxia, characterized by low oxygen levels resulting from impaired lung function. In COPD, the damaged lungs hinder proper airflow and gas exchange, leading to a reduced supply of oxygen to the body's tissues.<sup>76</sup> In response, the body initiates a compensatory mechanism by increasing the production of red blood cells to enhance oxygen-carrying capacity. This response is triggered by the hormone erythropoietin, which is produced by the kidneys in response to low oxygen levels.<sup>77,78</sup> Consequently, higher levels of red blood cells lead to polycythemia. However, this condition can thicken the blood, increasing the risk of blood clots and cardiovascular complications. Managing polycythemia in COPD involves addressing the underlying lung disease and employing therapeutic interventions to improve oxygenation levels.<sup>79</sup>

#### **Differential Diagnoses Of Polycythemia**

##### **In Adults**

Polycythemia is a medical disorder characterized by an abnormally high number of

red blood cells in the body.<sup>19</sup> This condition can be divided into two main categories: primary and secondary polycythemia. Primary polycythemia also referred to as polycythemia vera, is caused by a genetic mutation in the JAK2 gene that results in uncontrolled production of red blood cells.<sup>26</sup> In contrast, secondary polycythemia is a response to chronic low oxygen levels in the body, which triggers the production of additional red blood cells to help carry more oxygen.<sup>28</sup> Gaisbock's syndrome, also known as stress polycythemia, is a type of relative polycythemia that is often seen in individuals with essential hypertension. This condition is characterized by an increase in the number of red blood cells, despite having a normal circulating red blood cell mass and a decreased plasma volume.<sup>29</sup>

##### **In Neonates**

- Hypoxia: When a fetus experiences inadequate oxygen delivery, known as hypoxia, it may respond by producing more red blood cells as a way to compensate. This can occur acutely due to complications during birth or chronically when the fetus is exposed to maternal consequences such as hypertension, diabetes, and smoking.<sup>35,36</sup>
- Umbilical cord stripping: When the umbilical cord is clamped later and stripped towards the baby, the remaining blood in the cord and placenta can enter the fetal circulation, leading to an increase in blood volume.<sup>37,38</sup>
- Twin-to-twin transfusion syndrome: a condition that can occur during a twin pregnancy, the twin receiving blood (the recipient) may develop polycythemia.<sup>39,40</sup>

#### **Pathophysiology Of Polycythemic COPD**

In COPD patients, about 6-10 percent of people with COPD develop polycythemia. Polycythemic COPD is a subtype of COPD characterized by an increase in hematocrit count in response to chronic hypoxemia.<sup>2</sup> The onset of secondary polycythemia can be influenced by elevated carboxyhemoglobin (COHb) levels in individuals who smoke and persistent hypoxemia, or low oxygen levels in the blood, in those with COPD. It is associated with reduced lung function and respiratory symptoms such as cough, mucus production, and shortness of breath.<sup>5,6</sup> Polycythemia is generally referred to as "secondary polycythemia" and is caused by chronically low oxygen levels. About 6-10%

of patients with COPD develop polycythemia. Secondary polycythemia in COPD is associated with consequences such as smoking, being male, being of non-Hispanic white ethnicity, having a reduced ability of the lungs to transfer carbon monoxide (impaired DLCO), and experiencing severe hypoxemia, or low oxygen levels in the blood.<sup>2,14,15</sup> Polycythemic COPD patients are highly vulnerable to complications like pulmonary hypertension and blood clot formation.<sup>7,8</sup> While polycythemia increases blood volume, patients remain at risk of dehydration due to chronic hypoxemia and increased respiratory water loss during exertion.<sup>9</sup> Additionally, the elevated blood viscosity can lead to fluid retention and edema.<sup>10</sup> This can disrupt fluid balance and contribute to swelling in the legs and ankles. Management of fluid balance in polycythemic COPD patients

requires careful monitoring of hydration levels, considering individual factors such as respiratory water loss and edema, and ensuring adequate fluid intake to prevent dehydration and mitigate excessive fluid retention.<sup>11</sup> Consequences of dehydration in polycythemic COPD patients can include worsened circulation and impaired oxygen delivery due to thicker blood and difficulties in mucus clearance, leading to respiratory infections and exacerbations.<sup>12,13</sup> Proper hydration is essential to mitigate these risks and maintain overall health and well-being.

**Consequences Of Polycythemic COPD  
Hematological consequences**

Polycythemia, a condition characterized by an excess of RBC or Hematocrit, can develop in individuals with COPD due to chronic low oxygen levels. While polycythemia may offer some

**Table 1.** Risk factors in polycythemic COPD

No	Risk Factor	Description
1.	Male sex	Men have a higher risk of developing polycythemia in COPD compared to women.
2.	Smoking	Cigarette smoking is a significant risk factor for developing polycythemia in COPD. 67
3.	Impaired DLCO	a reduced ability to transfer carbon monoxide from the lungs to the blood (impaired DLCO) is associated with an increased risk of developing polycythemia. 67
4.	Hypoxemia severity	The severity of chronic hypoxemia is positively correlated with polycythemia risk. 2
5.	Altitude	High-altitude living can raise the risk of secondary polycythemia.
6.	Chronic lung diseases	Polycythemia can be exacerbated by other chronic lung illnesses, such as interstitial lung disease.
7.	Cyanotic heart diseases	Certain heart conditions with cyanosis can lead to polycythemia.
8.	Post-renal transplant	After a kidney transplant, some patients may develop hypertension and erythrocytosis.
9.	ACEIs/ARB's treatment resistance	In post-renal transplant patients, resistance to ACE inhibitors or ARBs can be a risk factor.

**Table 2.** Types of polycythemia in COPD

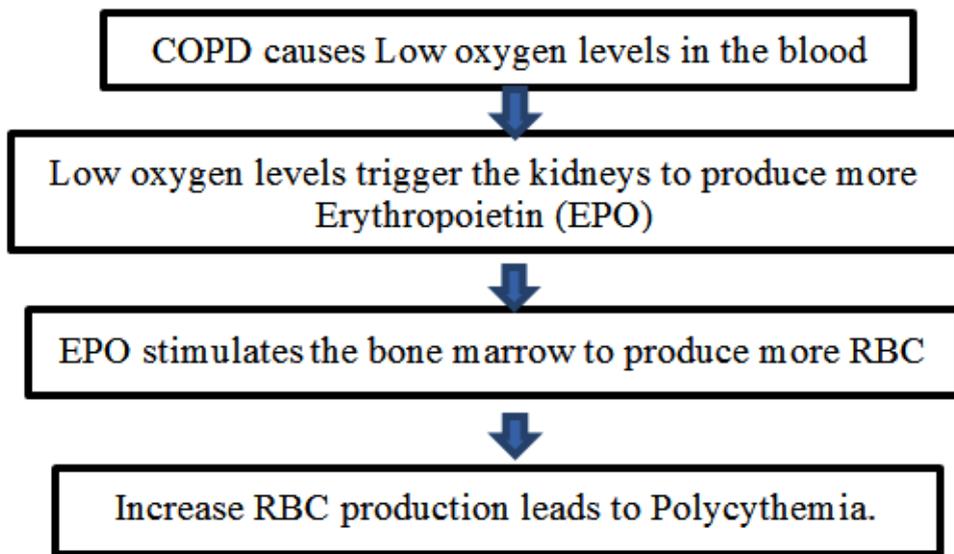
No	Category	Primary Polycythemia	Secondary Polycythemia	Relative polycythemia
1.	Causes	A genetic disorder resulting from a mutation in the bone marrow cells. <sup>27</sup>	Excess of erythropoietin (EPO)	Burns, Stress and dehydration
2.	Erythropoietin (EPO) level	Low. <sup>28</sup>	High	Normal
3.	Red blood cell count	High. <sup>28</sup>	High	High

protective benefits for COPD patients on long-term oxygen therapy, it can also heighten the risk of pulmonary embolism (PE), a life-threatening blood clot in the lungs, and is an independent risk factor for mortality in COPD patients with PE.<sup>35</sup> Elevated erythrocytes can cause the blood to thicken abnormally, increasing the risk of (VTE) venous thromboembolism and potentially leading to the development of pulmonary hypertension.<sup>45</sup>

**Systemic consequences**

In patients with COPD, chronic inflammation and oxidative stress can contribute

to the development of secondary polycythemia. Inflammation and oxidative stress can lead to tissue damage and hypoxia, or low oxygen levels, where the production of erythropoietin, a hormone that stimulates the production of red blood cells, can be stimulated, leading to an increase in red blood cell count known as polycythemia.<sup>80</sup> Cigarette smoke is a major risk factor for COPD development and has been associated with increased oxidant burden on multiple cell types in the lungs. Elevated levels of reactive oxygen species (ROS) may significantly affect the expression of biological molecules,



**Fig. 1.** Pathophysiology of Polycythemic COPD.<sup>2,6,17,18</sup>

**Table 3.** Constituents of hematological consequences

No	Condition	Consequence
1.	Pulmonary Embolism	A blood clot that forms in a deep vein in the leg and travels to the lung, blocking an artery and stopping blood flow, is known as a pulmonary embolism. This condition can be life-threatening and is often characterized by symptoms such as shortness of breath, chest pain, fainting, coughing up blood, rapid or irregular heartbeat, lightheadedness or dizziness, excessive sweating, and fever. <sup>20,21</sup>
2.	Venous Thromboembolism	A blood clot that forms in a vein deep in the body. Most often occurs in the legs. Can travel to the lungs and cause a pulmonary embolism. Symptoms can include swollen and tender legs that are painful to the touch, shortness of breath, and pain when breathing. <sup>22,23</sup>
3.	Pulmonary Hypertension	Elevated pressure within the blood vessels that carry blood to your lungs can lead to heart failure. Symptoms of this condition may include difficulty breathing (especially after physical activity), swelling, coughing up blood, and fainting. <sup>24,25</sup>

signaling pathways, and the function of antioxidant defenses. Mitochondrial dysfunction plays a critical role in ROS production due to oxidative phosphorylation. Excess oxidative stress is able to alter mitochondrial function, morphology, and RNA and protein content.<sup>81</sup>

**Some common complications of polycythemia in COPD include**

- **Progression to leukemia:** Approximately 5% of cases may develop into acute myeloid leukemia (AML), a condition that is typically challenging to treat. Certain drugs, including chlorambucil, pipobroman, and radioactive phosphorous, have been linked to AML development.<sup>30,31</sup>
- **Bleeding:** Iron deficiency anemia can occur in recurrent nosebleeds or gastrointestinal bleeding, which can complicate the interpretation of clinical findings, including changes in the appearance of bone marrow.<sup>32</sup>

- **Thrombosis:** Increased blood viscosity can increase arterial and venous thrombosis risk. Arterial thrombosis can cause digital infarcts and cerebral ischemic infarcts, especially in watershed areas. Venous thrombosis, including diseases such as Budd-Chiari syndrome, can also develop.<sup>33,34</sup>

- **Cardiovascular Complications:** The increased blood viscosity and workload on the heart can lead to various cardiovascular issues, including hypertension, heart failure, and increased risk of stroke.<sup>68</sup>

- **Reduced Oxygen Delivery:** Despite increased RBC count, the transport of oxygen to tissues might still be impaired due to decreased lung function in COPD, resulting in tissue hypoxia.<sup>69</sup>

- **Enlarged Spleen (Splenomegaly):** The body's attempt to accommodate increased red blood cells might lead to an enlarged spleen.<sup>70</sup>

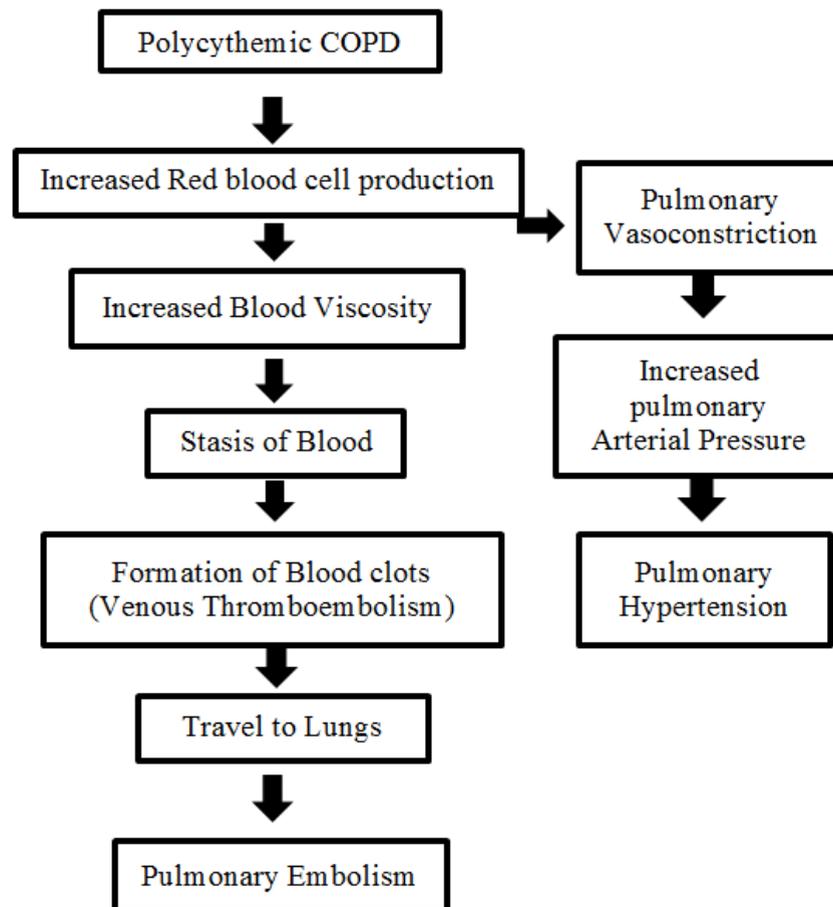


Fig. 2. Polycythemic COPD induced Hematological consequence.<sup>41,42,43,44,45</sup>

### Therapeutic Targets For Polycythemic COPD Phlebotomy

Phlebotomy is a medical treatment that involves taking blood from a patient's body. The primary therapy for the blood disorder polycythemia vera was established by a trial conducted by the Polycythemia Vera Study Group. The study revealed that phlebotomy alone was associated with a greater median survival rate when compared to other medications such as Radioactive phosphorous or chlorambucil.<sup>46</sup> The purpose of phlebotomy is to reduce hyperviscosity by removing blood and generating an iron deficit, which can help restrict red-cell growth. In practice, Blood of 500 mL is obtained each week until a hematocrit of less than 45% is achieved. The CYTO-PV investigation indicated that patients who were kept below this threshold had a significantly lower incidence of cardiovascular death and severe thrombotic events.<sup>47</sup> Secondary polycythemias are treated with phlebotomy in conditions such as chronic lung disease, cyanotic heart disease, and Patients with hypertension after undergoing a kidney transplant and erythrocytosis who do not respond to proper ACEI or ARB doses.<sup>48</sup>

### Hydroxyurea

Hydroxyurea is frequently used as a last-resort treatment. Studies, including one by the

Polycythemia Vera Study Group, have shown that it can reduce the risk of blood clots when compared to phlebotomy alone.<sup>49</sup> Despite concerns, there is no evidence that hydroxyurea use increases the incidence of leukemia.[50] Phlebotomy is not feasible or practical or when other symptoms such as severe thrombocytosis or intractable itching are present, it is used. The usual dose is 500 - 1500 mg per/day, calibrated to keep platelet levels below 500,000/mcL while maintaining absolute neutrophil counts over 2000/microliter.

### Ruxolitinib

Ruxolitinib, a JAK2 inhibitor, is used when individuals do not respond to or tolerate hydroxyurea. The COMFORT investigations found evidence for its use in myeloproliferative disorders, with significant reductions in spleen size, decreased symptoms, and enhanced survival compared to placebo or the best available treatment.<sup>51</sup> However, the use of Ruxolitinib may increase the risk of anemia and thrombocytopenia. For polycythemia vera, the typical dosage is 10 mg taken two times a day; however, it may need to be lowered if hemoglobin levels fall below 12 g/dl. If hemoglobin levels fall below 8 g/dl, dosage should be temporarily halted.

### Low-flow oxygen therapy

In COPD patients, the disease can lead to

**Table 4.** Management of polycythemic COPD consequences

No	Consequences of Polycythemia in COPD	Treatment
1.	Increased Blood Viscosity. <sup>54,55</sup>	Adequate Hydration Low-dose Aspirin
2.	Venous Thromboembolism (VTE). <sup>56-58</sup>	Phlebotomy (Blood Removal) Anticoagulant Medications. <sup>64</sup> Compression Stockings
3.	Pulmonary Hypertension. <sup>56-61</sup>	Inferior Vena Cava Filter. <sup>59</sup> Oxygen Therapy (at least 16 hr/day) Pulmonary Vasodilators Diuretics
4.	Increased Risk of Cardiovascular Events. <sup>54</sup>	Endothelin Receptor Antagonists. <sup>63</sup> Lifestyle Modifications (e.g., Exercise, Diet, Smoking Cessation)
5.	Increased Risk of Thrombotic Complications. <sup>57,58</sup>	Medications for Cardiovascular Health. Anticoagulant Medications. <sup>65</sup>
6.	Increased Risk of Stroke. <sup>60,61</sup>	Phlebotomy (Blood Removal) Anticoagulant Medications
7.	Impaired Oxygen Transport	Supplemental Oxygen Therapy Bronchodilators and Inhaled Medications. <sup>66</sup>

hypoxia or low oxygen levels. This can stimulate the production of erythrocytes in an attempt to increase the oxygen-carrying capacity of the blood, leading to polycythemia. Low-flow oxygen therapy can help to increase the oxygen levels in the blood, reducing the stimulus for erythrocyte production and potentially improving symptoms associated with polycythemia.<sup>71,72</sup>

#### **Low-Dose Aspirin**

The first PVSG study found that patients who only received phlebotomy treatment had a higher risk of developing blood clots during the first three years of treatment. This suggests that using antiplatelet or anticoagulant drugs may be beneficial. While earlier experiments employing greater dosages of aspirin resulted in unacceptable gastrointestinal hemorrhage, subsequent investigations discovered that lower doses of aspirin may be safely employed.<sup>52</sup> When microvascular symptoms are not well treated after obtaining the targeted erythrocytes or when additional cardiovascular risk factors are present, aspirin is now recommended. The recommended dose is low, ranging from 40 to 100 mg/day.

#### **Treatment of Polycythemia during Pregnancy**

In most situations, the conventional therapy for polycythemia during pregnancy is low-dose aspirin and phlebotomy. Some high-risk women may also require the inclusion of pegylated interferon-alpha.<sup>53</sup>

#### **Treatment of Neonatal Polycythemia**

The majority of newborn polycythemia patients do not require therapy. However, in situations of hyperviscosity, an exchange transfusion may be required.<sup>73</sup>

#### **Cytoreductive therapy**

Cytoreductive therapy is used to treat primary polycythemia, or polycythemia vera, a clonal disorder affecting hematopoietic stem/progenitor cells. The goal of this therapy is to decrease the production of red blood cells in the bone marrow and maintain a consistent blood cell count. In contrast to phlebotomy, which lowers the blood cell count temporarily before it rises again, cytoreductive therapy aims to prevent fluctuations in the blood cell count. Givinostat has the potential to become the new standard first-line cytoreductive treatment for polycythemia vera patients, replacing hydroxyurea and changing the current therapeutic guidelines for managing PV.<sup>74,75</sup>

#### **Dietary modulation**

Dietary modulation of oxidative stress in chronic obstructive pulmonary disease (COPD) patients is a topic of interest in the medical community.<sup>82</sup> Barnes *et al.*, discusses the role of oxidative stress in COPD and how dietary modulation can help reduce it. The article highlights that there is a marked increase in oxidative stress in the lungs of patients with COPD, as measured by increased exhaled 8-isoprostane, ethane, and hydrogen peroxide in the breath. The lung may be exposed to exogenous oxidative stress from cigarette smoking and indoor or outdoor air pollution and to endogenous oxidative stress from reactive oxygen species released from activated inflammatory cells, particularly neutrophils and macrophages, in the lungs. Oxidative stress in COPD may be amplified by a reduction in endogenous antioxidants and poor intake of dietary antioxidants.<sup>83</sup>

The review article suggests that dietary modulation can help reduce oxidative stress in COPD patients. Protective roles have emerged for healthy dietary patterns to include consumption of antioxidants, anti-inflammatory foods like fruits and vegetables, other sources of dietary fiber (e.g., legumes), certain micronutrients (vitamins D and E), dietary supplements (i.e., N-acetylcysteine), and avoidance of unhealthy fats and simple carbohydrates.

#### **CONCLUSION**

Polycythemia in COPD is a significant medical condition characterized by an excess of erythrocyte due to chronic low oxygen levels. While it may offer some protective effects for COPD patients on long-term oxygen therapy, it can also lead to severe complications and increased risks, including pulmonary embolism and cardiovascular events. This review highlights the epidemiology, risk factors, and consequences of polycythemia in COPD patients, shedding light on the pathophysiology and potential therapeutic targets. Proper management of polycythemic COPD involves addressing increased blood viscosity, managing thromboembolic risks, and optimizing cardiovascular health. Treatments such as phlebotomy, low-dose aspirin, and oxygen therapy are vital in reducing complications and

improving outcomes. However, further research is needed to enhance understanding and refine the care for individuals with polycythemic COPD, striving to mitigate the complications associated with this condition and ultimately improve patients' quality of life and long-term prognosis.

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